

# On the Uniform Computational Content of Computability Theory

Alexander P. Kreuzer

joint work with

Vasco Brattka and Matthew Hendtlass

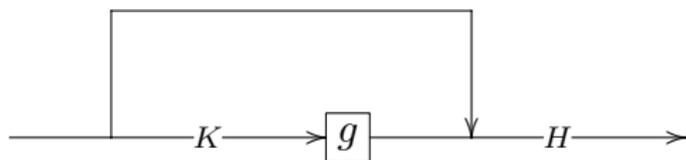
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# Weihrauch lattice

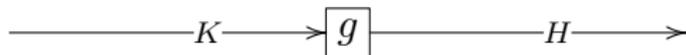
**Weihrauch reduction:** Let  $f, g : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$

$f \leq_W g$  iff  $\exists K, H : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}$  computable ( $f = H \langle \text{id}, gK \rangle$ )



**Strong variant:**

$f \leq_{sW} g$  iff  $\exists K, H : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}$  computable ( $f = HgK$ )



# Multivalued functions

Let  $f, g : \subseteq \mathbb{N}^{\mathbb{N}} \rightrightarrows \mathbb{N}^{\mathbb{N}}$  be multivalued.

## Definition

$F : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  realizes  $f$  iff

$$F(x) \in f(x) \quad \text{for all } x \in \text{dom}(f).$$

Write  $F \vdash f$ .

$f \leq_w g$  if

$$\exists K, H \forall G \vdash g \quad (H \langle \text{id}, GK \rangle \vdash f).$$

Same for  $\leq_{sw}$ .

# Represented Spaces

Spaces  $X, Y$  are represented by surjective function  $\delta_X, \delta_Y : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow X, Y$ .  
A realizer  $F : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  to a multivalued function on represented spaces  $f : \subseteq (X, \delta_X) \rightrightarrows (Y, \delta_Y)$  is function such that the following diagram commutes.

$$\begin{array}{ccc} \mathbb{N}^{\mathbb{N}} & \xrightarrow{F} & \mathbb{N}^{\mathbb{N}} \\ \downarrow \delta_X & & \downarrow \delta_Y \\ X & \xrightarrow{f} & Y \end{array}$$

# Examples

## Closed Choice:

$$C_X : \subseteq \mathcal{A}_-(X) \rightrightarrows X, X \mapsto X$$

$$C_2 \equiv_{sW} \text{LLPO}$$

## Compositional products:

$$f * g := \max\{f_0 \circ g_0 \mid f_0 \leq_w f, g_0 \leq_w g\}$$

## Algebraic operations:

Product  $f \times g$ , parallelization  $\hat{f}$ , etc.

# Weak König's lemma

**WKL** *Weak König's lemma*

Every infinite 0/1-tree, has an infinite branch.

**DNC<sub>k</sub>** *Diagonal non-computable function*

For every  $p \in 2^{\mathbb{N}}$ , there exists a diagonal non-computable function  $f: \mathbb{N} \rightarrow k$ , i.e.,  $f(n) \neq \phi_n^p(n)$ .

**PA** *Completion of Peano arithmetic*

For every  $p$  there is a Turing-degree  $d$  containing a completion of each  $p$ -computable theory.

## Theorem (classical)

*Computationally (non-uniform) the following are equivalent:*

- WKL,
- DNC<sub>k</sub> for any  $k \in \mathbb{N}$ ,
- PA.

DNC <sub>$\mathbb{N}$</sub>  is weaker.

# WKL in the Weihrauch lattice

## Theorem

$$\text{WKL} \equiv_{\text{sW}} \widehat{\text{LLPO}}$$

## Definition ( $\text{ACC}_X$ , all or co-unique choice)

$$\text{ACC}_X : \subseteq \mathcal{A}_-(X) \rightrightarrows X, A \mapsto A$$

and  $\text{dom}(\text{ACC}_X) := \{A \in \mathcal{A}_-(X) : |X \setminus A| \leq 1 \text{ and } A \neq \emptyset\}$ .

## Theorem (Weihrauch, '92)

$$\text{ACC}_{\mathbb{N}} <_{\text{W}} \text{ACC}_{n+1} <_{\text{W}} \text{ACC}_n <_{\text{W}} \text{ACC}_2 \equiv_{\text{sW}} \text{LLPO}$$

## Theorem (Brattka, Hendtlass, K.)

$$\text{DNC}_X \equiv_{\text{sW}} \widehat{\text{ACC}_X}$$

In particular,  $\text{WKL} \equiv_{\text{sW}} \widehat{\text{LLPO}} \equiv_{\text{sW}} \text{DNC}_2$ .

# WKL in the Weihrauch lattice (cont.)

Theorem (Brattka, Hendtlass, K.)

$$\text{ACC}_n \not\leq_W \text{DNC}_{n+1}$$

$$\text{DNC}_{\mathbb{N}} <_W \text{DNC}_{n+1} <_W \text{DNC}_n <_W \text{DNC}_2 \equiv_{sW} \text{WKL}$$

# Turing degrees as represented spaces

Let  $[p] := \{q \in \mathbb{N}^{\mathbb{N}} \mid p \equiv_{\text{T}} q\}$

Definition (Turing degrees, representation)

- $\mathcal{D} := \{ [p] \mid p \in \mathbb{N}^{\mathbb{N}} \},$
- $\delta_{\mathcal{D}}: \mathbb{N}^{\mathbb{N}} \rightarrow \mathcal{D}, p \mapsto [p].$

Observation

Turing degrees are invariant under finite modification of its members.

$\delta_{\mathcal{D}}^{-1}(d)$  for  $d \in \mathcal{D}$ , is dense.

We call such spaces densely realized.

## Densely realized

A multi-valued map  $f : \subseteq X \rightrightarrows Y$  is called densely realized, if  $\{ F(p) \mid F \vdash f \}$  is dense for all  $p \in \text{dom}(f\delta_X)$ .

### Proposition

*If  $Y$  as above is densely realized,  $f$  is densely realized.*

### Theorem

*If  $f$  is densely realized, then*

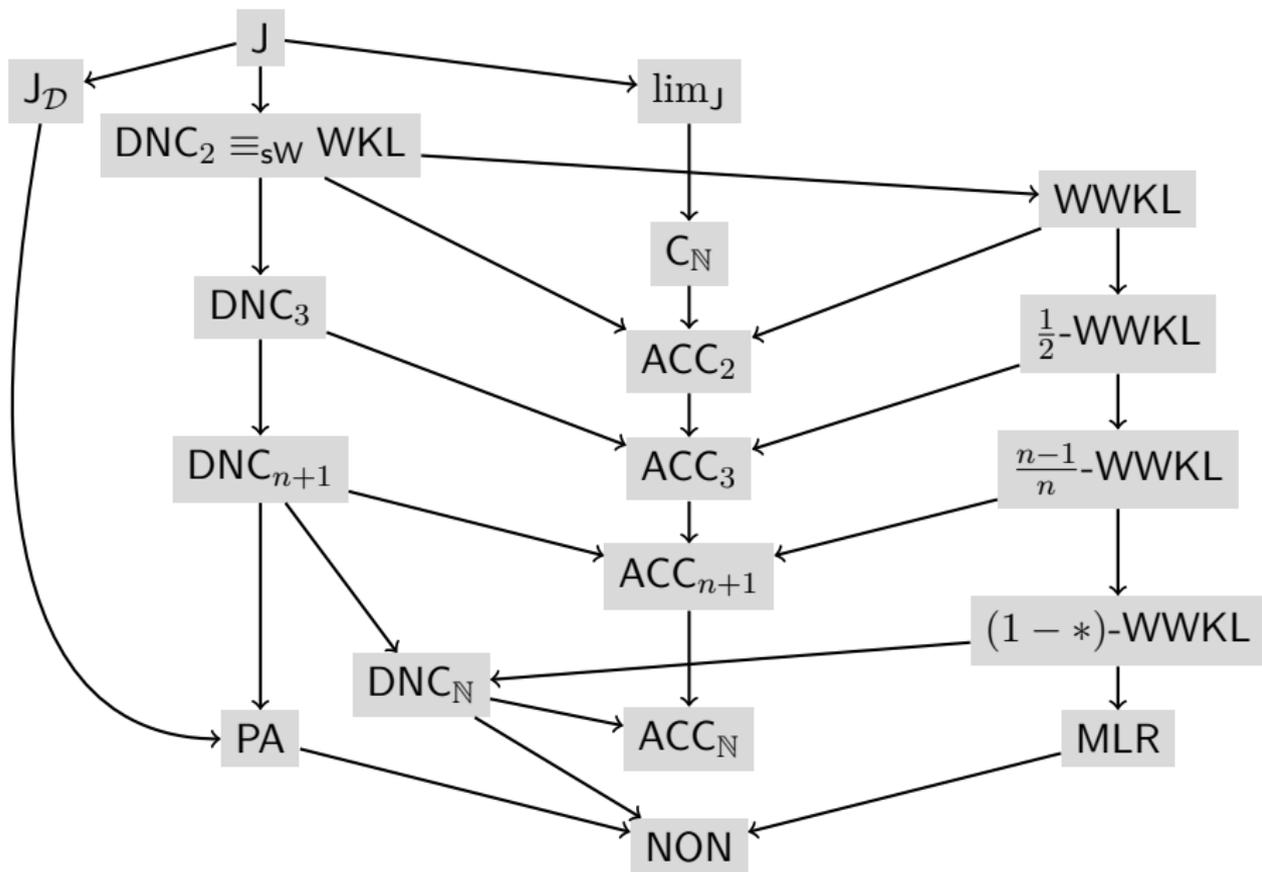
$$\text{ACC}_{\mathbb{N}} \not\leq_W f. \quad (1)$$

Proof: Continuity! □.

Property (1) is called  $\omega$ -indiscriminative.

### Corollary

$\text{PA} : \subseteq \mathcal{D} \rightrightarrows \mathcal{D}$  is  $\omega$ -indiscriminative. Thus,  $\text{DNC}_{\mathbb{N}} \not\leq_W \text{PA}$ .



## Other principles considered

- Weak weak König's lemma and Martin-Löf randomness
- Jump inversion theorem  
JIT :  $d \mapsto \{a \mid a' = d \cup \emptyset'\}$ ,  
JIT  $<_{sW} c_{\emptyset'} \times id$
- Kleene-Post theorem

Relates to (refines) other approaches:

### Theorem (Relation to Medvedev reducibility)

For  $f, g : \subseteq \mathbb{N}^{\mathbb{N}} \rightrightarrows \mathbb{N}^{\mathbb{N}}$ ,

$f \leq_w g \implies$

$$\forall p \in \text{dom}(f) \cap \text{COMP} \exists q \in \text{dom}(g) \cap \text{COMP} (f(p) \leq_M g(q)).$$

Our analysis of  $\text{DNC}_k$  refines work by Cenzer, Hinmann in Medvedev lattice.

## Definition

$f : \subseteq X \rightrightarrows Y$  is called

- indiscriminative if  $\text{LLPO} \not\leq_W f$ ,
- $\omega$ -indiscriminative if  $\text{ACC}_{\mathbb{N}} \not\leq_W f$ .

Are indiscriminative principles useful?

**No:** Obviously do not compute much.

Probably, the reason why most of recursion theory does not show up in analysis.

WKL is an exception.

**Yes:** I will present some examples.

# Reasons for being indiscriminative

- Computational weakness,
- Continuity,
- Densely realized,
  - Range is densely realized as space
    - Turing degrees  $\mathcal{D}$ ,
    - Derived spaces
  - Definition of the principle

## Examples

- Weak Bolzano Weierstraß principle

$$\text{WBWT}_{\mathbb{R}} : \subseteq \mathbb{R}^{\mathbb{N}} \rightrightarrows \mathbb{R}'$$

- Cohesive principle, (variants of) Baire category theorem

# Cohesive principle

## Definition

- Let  $(R_i)_{i \in \mathbb{N}} \subseteq 2^{\mathbb{N}}$ . A set  $X \in 2^{\mathbb{N}}$  is called cohesive if
  - $X$  is infinite.
  - $X \subseteq^* R_i$  or  $X \subseteq^* \overline{R_i}$  for all  $i$ .
- $\text{COH} := \subseteq (2^{\mathbb{N}})^{\mathbb{N}} \rightrightarrows 2^{\mathbb{N}}$

## Proposition

$\text{COH}$  is densely realized.

Proof: By definition. □

## Corollary

- $\text{COH}$  is  $\omega$ -indiscriminative.
- $\text{WKL} \not\leq_{\text{W}} \text{COH}$ ,
- $\text{DNC}_{\mathbb{N}}, \text{MLR} \not\leq_{\text{W}} \text{COH}$ .

# Cohesive principle and weak Bolzano-Weierstraß

## Theorem (K. '11)

$\text{WBWT}_{\mathbb{R}} \equiv_{\text{W}} \text{COH}$ .

Note: non-strong Weihrauch equivalence. There is a variant of  $\text{SBWT}_{\mathbb{R}}$  for which strong equivalence holds.

## Proposition

$\text{BWT}_{\mathbb{R}} \equiv_{\text{sW}} \text{lim} * \text{WBWT}_{\mathbb{R}} \equiv_{\text{sW}} \text{lim} * \text{COH}$

## Theorem (Brattka, Gherardi, Marcone '12; K.)

$\text{BWT}_{\mathbb{R}} \equiv_{\text{sW}} \text{WKL}' \equiv_{\text{sW}} \text{WKL} * \text{lim}$

# Cohesive principle and weak Bolzano-Weierstraß

$$\lim * \text{COH} \equiv_{\text{W}} \text{WKL}' \equiv_{\text{W}} \text{BWT}_{\mathbb{R}}$$

Is COH optimal? Is there a weaker principle such that

$$\lim * \boxed{?} \equiv_{\text{W}} \text{WKL}'$$

Yes, COH is optimal.

## Theorem

$$\text{COH} \equiv_{\text{W}} \lim \rightarrow \text{WKL}'$$

## Side info on $\rightarrow$ , (Brattka, Pauly '14)

$$f \rightarrow g := \min\{h \mid g \leq_{\text{W}} f * h\}.$$

- $f \rightarrow g$  is the weakest oracle for  $f$  needed to compute  $g$ .
- Exists always.

Algebraic characterization of COH

# Cohesive degrees

Degree variant of COH:

$$[\text{COH}] : \subseteq \mathcal{D} \rightrightarrows \mathcal{D}$$

Jump for degrees:

$$J_{\mathcal{D}} : d \mapsto \{d'\}$$

Theorem (Jockusch, Stephan '93 (essentially))

$$[\text{COH}] \equiv_W J_{\mathcal{D}}^{-1} \circ \text{PA} \circ J_{\mathcal{D}}.$$

Note:

$$\text{COH} \not\leq_W \text{lim}^{-1} * \text{WKL} * \text{lim}$$

Theorem

$$[\text{COH}] \equiv_W (\text{lim} \rightarrow \text{PA}') \equiv_W (J_{\mathcal{D}} \rightarrow \text{PA}')$$

# Baire category theorem

Let  $X$  be a complete metric space.

## Theorem (Baire category theorem)

Let  $(A_i)_{i \in \mathbb{N}}$  be closed nowhere dense subsets of  $X$ .

$$\bigcup_{i \in \mathbb{N}} A_i \subsetneq X$$

Formulate as computational problem:

**BCT<sub>0</sub>** Given  $(A_i)_{i \in \mathbb{N}}$  closed nowhere dense. There is an  $x \in X \setminus \bigcup_{i \in \mathbb{N}} A_i$ .

$$\text{BCT}_0 : \subseteq \mathcal{A}_-(X)^{\mathbb{N}} \Rightarrow X$$

**BCT<sub>1</sub>** Given  $(A_i)_{i \in \mathbb{N}}$  closed, such that  $\bigcup_{i \in \mathbb{N}} A_i = X$ . There is an index  $i$  such that  $A_i$  is somewhere dense.

$$\text{BCT}_1 : \subseteq \mathcal{A}_-(X)^{\mathbb{N}} \Rightarrow \mathbb{N}$$

**BCT<sub>2</sub>**, **BCT<sub>3</sub>** are defined like **BCT<sub>0</sub>** and **BCT<sub>1</sub>** but with positive input.

## Baire Category theorem (cont.)

		classical reverse mathematics
$BCT_0$	computable	$RCA_0$
$BCT_1$	computable with finitely many mind changes $C_{\mathbb{N}}$	$RCA_0 + BCTII$
$BCT_2$	computability theoretic version related to 1-generic, forcing	$\Pi_1^0G$
$BCT_3$	equivalent to cluster point problem	$ACA_0$

Space  $X$  has to be perfect (no isolated points.) E.g.,  $2^{\mathbb{N}}$ ,  $\mathbb{N}^{\mathbb{N}}$ .

**Non perfect space:**

### Proposition

$$BCT_2 \equiv_{sW} id_0$$

$$BCT_3 \equiv_{sW} id_{\mathbb{N}}$$

In particular  $BCT_2$ ,  $BCT_3$  are computable in this case.

# Baire Category theorem

## Theorem (Brattka, Hendtlass, K.)

$BCT_i$  for a perfect polish space  $X$  is strong-Weihrauch equivalent to  $BCT_i$  for  $\mathbb{N}^{\mathbb{N}}$ .

Consider now only  $X = \mathbb{N}^{\mathbb{N}}$ .

## Theorem (Brattka '01, Brattka, Gherardi '11)

- $C_{\mathbb{N}} \equiv_{sW} BCT_1$ ,
- $CL_{\mathbb{N}} \equiv_{sW} BCT_3 \equiv_{sW} BCT'_1$ .

$BCT_1$ ,  $BCT_3$  are discriminative.

## Theorem (Brattka, Hendtlass, K.)

- $BCT_0$ ,  $BCT_2$  are densely realized and hence  $\omega$ -indiscriminative.
- $BCT'_0 \equiv_{sW} BCT_2$ .

# Proof of $BCT_2 \equiv_{sW} BCT'_0$ and $BCT_3 \equiv_{sW} BCT'_1$

## Representations:

negative information	$\mathcal{A}_-, \phi_-$	Enumerate balls in complement
positive information	$\mathcal{A}_+, \phi_+$	Closure of points
cluster point	$\mathcal{A}_*, \phi_*$	Cluster points of points

## Proposition

$$id_{+-} : \mathcal{A}_+(X) \rightarrow \mathcal{A}_-(X) \leq_{sW} \text{lim}$$

Gives  $BCT_2 \leq_{sW} BCT'_0$  and  $BCT_3 \leq_{sW} BCT'_1$ .

## Proposition (Brattka, Gherardi, Marcone '12)

$id : \mathcal{A}_*(X) \rightarrow \mathcal{A}_-(X)'$  is a computable isomorphism.

## Proposition

There is an  $M : \subseteq \mathcal{A}_*(X) \rightrightarrows \mathcal{A}_+(X)$  such that,

- $M(A) \subseteq \{B : A \subseteq B\}$
- $A$  nowhere dense  $\Rightarrow B \in M(A)$  nowhere dense. ( $X$  perfect)

# 1-generic

A point  $p \in 2^{\mathbb{N}}$  is 1-generic **relative to**  $q$  if it meets or avoids any c.e. open set  $U_i^q$ , i.e.,

$$\exists w \sqsubseteq p \left( w2^{\mathbb{N}} \subseteq U_i^q \quad \text{or} \quad w2^{\mathbb{N}} \cap U_i^q = \emptyset \right).$$

Equivalently:  $p \notin \partial U_i^q$

## Theorem

$$\text{BCT}_0 \leq_{\text{sW}} \text{1-GEN} \leq_{\text{sW}} \text{BCT}_2$$

## Proof.

- 1 For nowhere dense  $A$ ,  $A = \partial A = \partial A^c$ .

$$\text{BCT}_0 = 2^{\mathbb{N}} \setminus \bigcup_{i=0}^{\infty} A_i = \bigcap_{i=0}^{\infty} (2^{\mathbb{N}} \setminus \partial A_i^c)$$

Now  $A_i^c = U_j^q$  for a suitable  $j$ . Thus,  $\text{BCT}_0 \leq_{\text{sW}} \text{1-GEN}$ .

- 2 Use  $\text{BCT}_2 \equiv_{\text{sW}} \text{BCT}'_0$  and compute  $(U_i^q)^c$  in the limit. □

# 1-generic (cont.)

## Theorem

$BCT_0 <_{sW} 1\text{-GEN} <_{sW} BCT_2$

*(The implications are strict.)*

## Proof sketch.

- 1 Sufficient to use a **weakly** 1-generic in the previous proof.  
Apply the fact that there are weakly 1-generics that are not 1-generic.
- 2 (Uniform) Theorem of Kurtz shows that  $1\text{-GEN} \leq_{sW} WWKL'$ .<sup>a</sup>

Lemma of Kučera shows that  $WWKL'$  can be realized such that its output is low for  $\Omega$ .

There is a computable  $p$  such that  $BCT_2(p)$  is not low for  $\Omega$ .

Thus,  $BCT_2 \not\leq_W WWKL'$ .



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<sup>a</sup>Actually,  $(1 - *)\text{-}WWKL'$

Definition ( $\Pi_1^0\mathbf{G}$ , classical reverse math)

Let  $D_i \subseteq 2^{<\mathbb{N}}$  be a sequence of dense, uniformly  $\Pi_1^0$ -set. There is a set  $G \subseteq 2^{\mathbb{N}}$  meeting each  $D_i$ , i.e.,  $\exists s \in D_i (s \sqsubseteq G)$ .

$\Pi_1^0\mathbf{G}$  related to forcing constructions.

Formulation in the Weihrauch lattice: Model properties of  $D_i$  using a suitable representation

## Definition

$\phi_{\#}(p) = D \iff \phi_{-}(p) = E$  and  $A = 2^{\mathbb{N}} \setminus \bigcup_{w \in E} w2^{\mathbb{N}}$ ,  
where  $E \subseteq 2^{<\mathbb{N}}$ .

Definition ( $\Pi_1^0\mathbf{G}$ , Weihrauch version)

$$\Pi_1^0\mathbf{G} := \mathcal{A}_{\#}(2^{\mathbb{N}})^{\mathbb{N}} \rightrightarrows 2^{\mathbb{N}} \quad (D_i)_i \mapsto \bigcap 2^{\mathbb{N}} \setminus D_i,$$

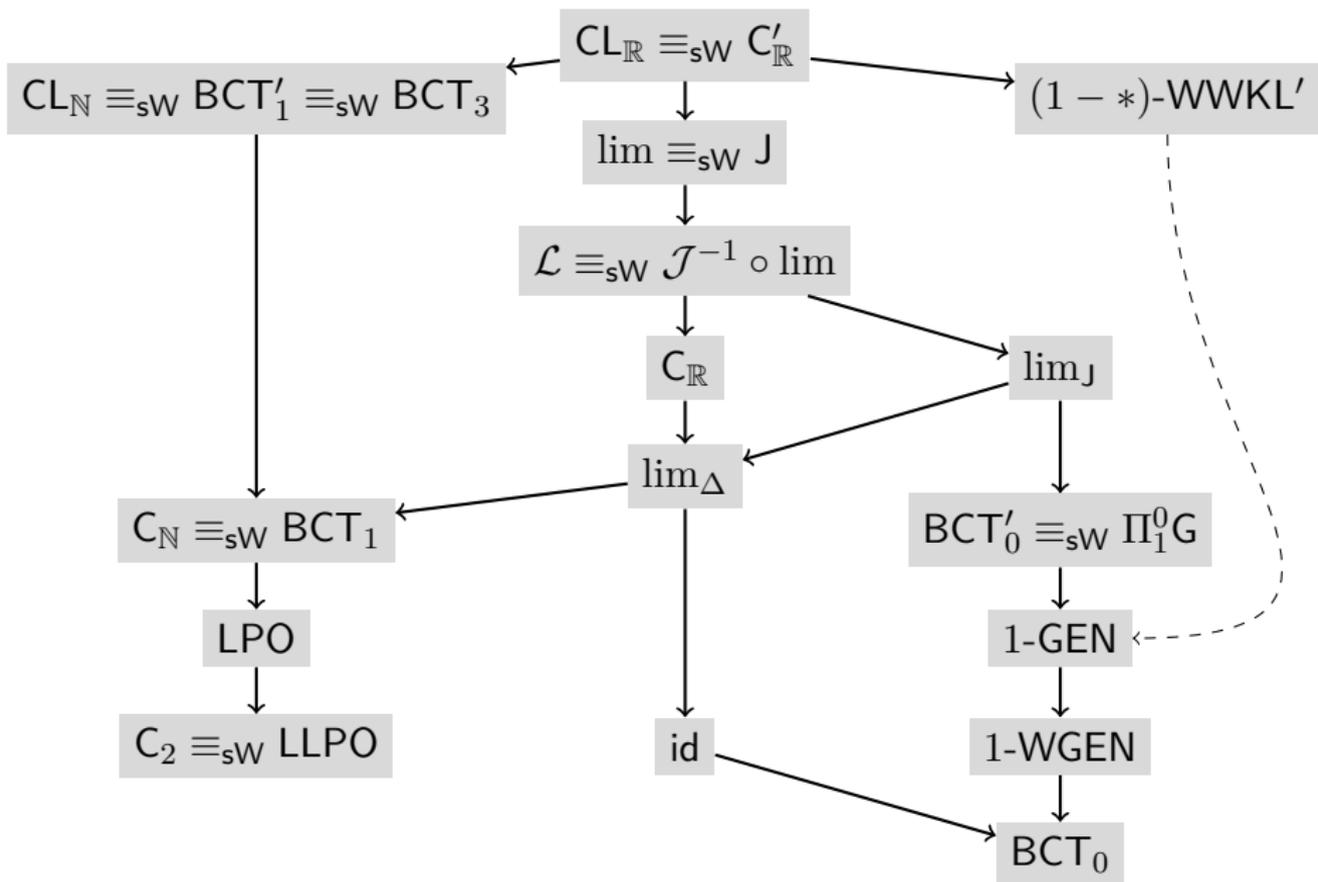
with  $\text{dom}(\Pi_1^0\mathbf{G}) := \{(A_i)_i \mid A_i^{\circ} = \emptyset\}$ .

## Proposition

$\text{id}: \mathcal{A}_-(2^{\mathbb{N}})' \rightarrow \mathcal{A}_{\#}(2^{\mathbb{N}})$  is a computable isomorphism.

## Corollary

$\Pi_1^0 G \equiv_{sW} \text{BCT}'_0 \equiv_{sW} \text{BCT}_2$



# What more do we see in the Weihrauch lattice?

- Characterization of  $\text{DNC}_k$  as parallelization of weak omniscience principle  $\text{ACC}_k$ .
- Algebraic characterization of  $\text{COH} \equiv_{\text{W}} \text{lim} \rightarrow \text{WKL}'$ .
- Calculus characterization of  $\Pi_1^0\text{G}$ .

Thank you for your attention!

# Bibliography



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V. Brattka, M. Hendtlass, A. Kreuzer

*On the Uniform Computational Content of Baire Category Theorem*